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APPROVED

EESSI Business Use Case

*H\_BUC\_03b\_Subprocess Change of Legislation Applicable-Request for Information*





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| v4.1.0 | 23/07/2018 | Novella Bacelli | - Updated SR3: H012 must be sent to all participants to align SR with the main description of the BUC- Section 4.4 merged 2 tables (for SED & for Subprocesses) into 1 BUC Artefact table."- Version adaptations to release 4.1.0. |

# Introduction

## Purpose

The purpose of this document is to construct an external view of, part of, the 'EESSI business system' as described in EC Regulations 883/2004 and 987/2009. The ‘EESSI Business System’ describes the business and expected business processes without consideration as to which part(s) may be realized by an IT System (i.e. the proposed EESSI IT System).

The external view comprises of models and descriptions of business use cases, the services of a business system offered to business actors: customers, business partners, or other business systems.

A business use case is described from an actor's perspective; it describes the interaction between an actor and the business system, meaning it describes the behaviours of the business system that the actor utilizes. The Business Use Case includes Use Case Diagrams and Business Process Models.

Use case diagrams show actors, business use cases, and their relationships. Use case diagrams do not describe procedures. Alternative scenarios also remain hidden. These diagrams give a good overview of the behaviours of the EESSI business system which will direct and govern part of the expected behaviours and functionality delivered by the EESSI IT System.

## Scope

This document is limited to the external view on the horizontal sector process Change of Legislation Applicable Request for Information. The different elements like use case description, actors, and business process as well as supporting UML diagrams and BPMN models pertaining to the ending of a process.

## Definitions, Acronyms and Abbreviations

Please see the [EESSI Project Glossary.](https://webgate.ec.europa.eu/CITnet/confluence/display/EESSI/Project%2BInformation%2Bfor%2BStakeholders)

## References

|  |  |  |
| --- | --- | --- |
| **#** | **Description** |  |
| 1 | EC Regulation 883/2004 | [Regulation EC No 883- 2004.pdf](file:///C%3A/Users/phil_000/AppData/Local/Microsoft/Windows/amadere/AppData/Local/3.Specifications/1-Legal%20Base/Regulation%20EC%20No%20883-%202004.pdf) |
| 2 | EC Regulation 987/2009 | [Regulation EC No 987-2009.pdf](file:///C%3A/Users/phil_000/AppData/Local/Microsoft/Windows/amadere/AppData/Local/3.Specifications/1-Legal%20Base/Regulation%20EC%20No%20987-2009.pdf) |
| 3 | UML 2.x | <http://www.omg.org/spec/UML/> |
| 4 | BPMN 2.0 | <http://www.omg.org/spec/BPMN/index.htm> |
| 5 | UML 2.0 In Action | Henriette Baumann, Patrick Grassle & Philippe Baumann, 2005, ISBN 1904811558 |
| 6 | RUP@EC standard 5.0 | <http://www.cc.cec/RUPatEC_Standard/> |
| 7 | RUP op maat | <http://www.rupopmaat.nl/> |

## Overview

Chapter 1 introduces the external view on the business system under review and lists the elements of this specification.

Chapter 2 introduces us to the Change of Legislation Applicable – Request for Information business process. The chapter gives a short and detailed description as well as a reference to business process´ legal base.

Chapter 3 lists the actors involved in the Change of Legislation Applicable – Request for Information business process.

Chapter 4 describes in detail Change of Legislation Applicable – Request for Information business process based on the RUP use case template, as well as the relationship to other use cases.

Chapter 5 describes the Change of Legislation Applicable – Request for Information business process using business process modelling notation (BPMN).

# Description

## Business Scenario

H\_BUC\_03-b\_Subprocess is a sub process that is used to make the information, as well as the date on which the application of that legislation took effect available to the former Member State upon the request of that Member State, described in Article 20 (2) of Implementing Regulation (EC) No 987/2009, within an existing sectorial Case.

## Legal Base

This Business Use Case document's legal base is described in the following Regulations

1. implementing Regulation (EC) No 987/2009

The following matrix specifies the SEDs that are used in this Business Use Case and documents the articles that provide the legal basis for each SED.

|  |  |
| --- | --- |
| **SED** | **Implementing Regulation** |
| **20 (2)**  | **2(2)** |
| **H011** | **✓** | **✓** |
| **H012** | **✓** | **✓** |

Table 1: SED – Legal base relationship matrix

# Actors & Roles

This chapter captures details of the actors which are important to understanding the different types of system users. An actor is anyone or anything that exchanges data with the business system. An actor can be a user, external hardware, or another system.

The overarching description of each actor described in this Business Use Case can be found in the Glossary. Below you will find a short description which provides further clarity of this actor within the context of this Business Use Case.

|  |  |
| --- | --- |
| **Actor name** | **Description** |
| ***Triggering Participant*** | The Triggering Participant is an active participant in the main process who triggers this BUC. This will be an Institution where the main process permits their role to do so |
| ***Other Participant(s)*** | Other Participant(s) represents all other active participants in the main process. This will be one or more Institutions as determined by the main process. |

Table 2: Actors & Roles

# Use Case

## RUP Table Representation

|  |  |
| --- | --- |
| **Use Case ID:** | **H\_BUC\_03b\_Subprocess** |
| **Use Case Name:** | Change of Legislation Applicable – Request for Information |
| **Created By:** | Anda Mirita | **Last Updated By:** | Novella Bacelli |
| **Date Created:** | 12/07/2016 | **Last Revision Date:** | 23/07/2018 |
| **Actors:** | Triggering ParticipantOther Participant(s) |
| **Description:** | H\_BUC\_03b\_Subprocess is a sub process that is used to make the information, as well as the date on which the application of that legislation took effect available to the former Member State upon the request of that Member State, described in Article 20 (2) of Implementing Regulation (EC) No 987/2009, within an existing sectorial Case. |
| **Trigger:** | An Institution wishes to request information on the change of legislation applicable from other participant(s) |
| **Preconditions:** | A case exists and triggers this BUC. |
| **Post conditions:** | The requested information on change of legislation applicable has been provided by the other participant(s). |
| **Main Scenario:** | 1. The Triggering Participant fills in a "Request of date of change of Legislation Applicable" (H011) by entering all required information;
2. The Triggering Participant sends H011, including , if necessary attachment(s), to all other Participants;
3. Each other Participant (s) receives and views H011, and the possible attachment(s);
4. Each Other Participant(s) fills in a " Reply to request of date of change of Legislation Applicable " (H012) by entering all required information;
5. Each Other Participant(s) sends their H012, including, if necessary attachments, to all Participants;
6. The Triggering Participant receives all (H012) replies, and reads them;

**The use case ends here.** |
| **Alternative Scenarios:** | ***The Following Branches determine the use of Administrative Defined Processes within this Business Process*** |
| ***Branch 1: at any step after [step 2], the Triggering Participant may choose to send an updated version of H011***1. The Case Owner executes business use case ***AD\_BUC\_10-Subprocess - Update\_SED;***
2. [This Branch] Ends.
 |
| ***Branch 2: At any step after [step 2], the Triggering Participant may choose to advise all recipients of their H011 that it is invalid under Art 5 of 987/09***1. The Triggering Participant executes business use case ***AD\_BUC\_06\_ Subprocess Invalidate SED;***
2. Optionally, the Triggering Participant fills in a "Request of date of change of Legislation Applicable" (H011) by entering all required data;
3. Optionally, the Triggering Participant sends the H011, including any attachments, to all other Participants;
4. [This Branch] Ends
 |
| ***Branch 3: at any step after [step 5], the other Participant(s) may choose to send an updated version of H012***1. The Case Owner executes business use case ***AD\_BUC\_10-Subprocess - Update\_SED;***
2. [This Branch] Ends.
 |
| ***Branch 4: at any step after [step 5], the other Participant (s) may choose to Invalidate their H012*** 1. The other Participant executes business use case ***AD\_BUC\_06\_ Subprocess Invalidate SED;***
2. Optionally, the other Participant fills in a "Reply to request of date of change of Legislation Applicable" (H012) by entering all required data;
3. Optionally, the other Participant sends the H012, including any attachments, to all Participants;
4. [This Branch] Ends
 |
| **Exceptions:** |  |
| **Includes:** | This BUC is exclusively used as an <<include>> in other sectorial business processes. |
| **Special Requirements:** | **SR1:** H011 can be used to request information.H012 can be used to return information as a reply to H011; it can only be sent after H011.**SR2**: Rules about the invoking of Branches:[Branch 1] – May be invoked more than once;[Branch 2] – May be invoked more than once;[Branch 3] – May be invoked more than once;[Branch 4] – May be invoked more than once;**SR3**: Rules about the destination for each SED Type:H011 – Must be Sent to all Participants;H012 – Must be Sent to all Participants;**SR4**: The term "Send/Sent to All Participants" does not include sending it himself (i.e. to then senders institution). |
| **Assumptions:** |  |
| **Notes and Issues:** |   |

## Request – Reply SEDs

The following table specifies the SED that have a logical pairing to one another, usually this is known as a request-reply pair.

|  |  |
| --- | --- |
| **REQUEST SED** | **REPLY SED(s)** |
| **H011** | **H012** |

## Attachments Allowed

The following table specifies whether attachments are permitted to be included when sending a SED type.

|  |  |
| --- | --- |
| **SED** | **Attachments** |
| **H011** | Allowed |
| **H012** | Allowed |

## Artefacts used

The following table specifies the artefacts that are used in this Business Use Case.

|  |  |
| --- | --- |
| **Artefact name** | **Artefact type** |
| **H011** | **SED** |
| **H012** | **SED** |

# Business Processes

The following model describes the Business Use Case Close Case subprocess using BPMN 2.0.



# Appendices

## Issues

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **#** | **Issue date** | **Description** | **Replies** | **Action/Resolution** | **Close date** |
| 1 |  |  |  |  |  |
| 2 |  |  |  |  |  |
| 3 |  |  |  |  |  |
| 4 |  |  |  |  |  |
| 5 |  |  |  |  |  |
| 6 |  |  |  |  |  |
| 7 |  |  |  |  |  |
| 8 |  |  |  |  |  |
| 9 |  |  |  |  |  |