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**International Process Guidelines

Sickness

S\_BUC\_14 – Information on Payment or not of Cash Benefits in respect of Incapacity for Work

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**Document history:**

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| **Revision** | **Date** | **Created by** | **Short Description of Changes** |
| V0.1 | 23/05/2017 | Secretariat | First draft of the document submitted for review to the Sickness Ad Hoc group |
| V0.2 | 02/08/2017 | Secretariat | New version of the document, after review from AHG. Version submitted for AC review. |
| V0.3 | 20/09/2017 | Secretariat | Implemented changes and updates following the AC comments. |
| V0.99 | 05/10/2017 | Secretariat | Implemented changes and updates following the AC review. Version submitted for AC approval. |
| V1.0 | 11/12/2017 | Secretariat | **AC approved version.** |
| V4.1.0 | 11/09/2018 | Secretariat | **Performed patch changes to reference the new CDM version 4.1.0** |

# S\_BUC\_14 – Information on Payment or not of Cash Benefits in respect of Incapacity for Work

**Description:** An insured person residing or staying in a Member State other than the Competent Member State may be entitled to cash benefits provided by the competent institution in accordance with the legislation. The insured person may be entitled to cash benefits from the institution of Competent Member State In case of an incapacity for work due to sickness, maternity or paternity.

This particular business use case is used in the situation when the competent institution informs the Member State of Residence/Stay about its decision to pay, or not to pay, for cash benefits in respect of Incapacity of Work to the insured person.

* If the competent institution refuses to pay the cash benefits, it shall notify its decision to the insured person and at the same time to the institution of the place of residence / stay.
* If the competent institution agrees to pay the cash benefits, the competent institution shall pay the cash benefits directly to the person concerned and shall, where necessary inform the institution of the place of residence.

This business use case is mandatory for the competent institution if it decides not to grant the cash benefits.

This business use case is optional if the decision is to grant the cash benefits (in this case, it may derive from the circumstances of the case that the insured person or the institution of place of residence/stay is awaiting for the decision).

**Legal Base:** The Business Use Case document's legal base is described in the following Regulations

* Basic Regulation (EC) No 883/2004
* Implementing Regulation (EC) No 987/2009.

The following matrix specifies the SEDs that are used in this Business Use Case and documents the articles that provide the legal basis for each SED.

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|  | **Basic Regulation (883/2004)** | **Implementing Regulation (987/2009)** | | | |
| **SED** | **21** | **3(4)** | **27(7)** | **27(9)** | **27(10)** |
| S046 - Information on Payment or Not of Cash Benefits | **✓** | **✓** | **✓** | **✓** | **✓** |

**Glossary of relevant terms used in S\_BUC\_14:**

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| **Term used** | **Description** |
| ***Case Owner*** | In this BUC the Case Owner is the competent institution which informs the Member State of Residence/Stay that it decided to pay cash benefits in respect of incapacity for work due to sickness, maternity or paternity to the insured person or that the payment has been refused |
| ***Counterparty*** | In this BUC the Counterparty is the Member State of Residence/Stay which is informed that a payment for cash benefits in respect of incapacity for work due to sickness, maternity or paternity has been accepted or that the payment has been refused. |

## How to start this BUC?

In order to help you understand S\_BUC\_14 we have created a set of questions that will guide you through the main scenario of the process as well as possible sub-scenarios or options available at each step along the way. Ask yourself each question and click on one of the hyperlinks that will guide you to the answer. You will notice that in some of the steps, where it is allowed to use the additional horizontal and administrative sub-processes, they will be listed under the step description.

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| What is my role in the social security exchange of information I have to complete? |
| If you want to inform the Member State of Residence/Stay that your institution decided to pay cash benefits in respect of incapacity for work due to sickness, maternity or paternity to the insured person or that the payment has been refused, your role will be defined as the **Case Owner**.  [I am the Case Owner.](#_CO.1_Who_do)  (step CO.1) |
| If you are the Competent Institution of a Member State of Residence or Stay of a person where the person(s) in question has/have acquired the rights for the cash benefits in respect of incapacity of work and if you are contacted by an institution from another Member State (Case Owner) to be informed that payment for cash benefits in respect of incapacity for work due to sickness, maternity or paternity has been accepted or that the payment has been refused, your role will be defined as the **Counterparty**.  [I am the Counterparty.](#_CO.4_How_do)  (step CP.1) |

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| CO.1 **Who do I need to transmit information to?** |
| As the Case Owner, your first step in any new exchange of information will be to identify the responsible Member State where the person is insured. The second step is to identify the relevant institution in this Member State that you need to exchange information with. This activity will define the Counterparty you will be working with. There can be only one Counterparty.  [I need to identify the Counterparty. (step CO.2)](#identify_institution)  [I have identified the Counterparty I need to contact.](#_CO.3_How_do)  (step CO.3) |

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| CO.2 How do I identify the correct institution to exchange information with? |
| In order to determine the relevant Competent Institution from another Member State you will need to consult the Institution Repository (IR). The IR provides an electronic record of all current and previous Competent Institutions and Liaison Bodies that have been responsible for the cross-border coordination of social security information for each of the relevant Member States.  Please note that the Liaison Body should be chosen only if it is impossible to identify the correct Competent Institution in the respective Member State or if the case is handled by the Liaison Body.  To access the IR please use the following link.  [I have now identified the Competent Institution from the Member State I need to contact](#CO3). (step CO.3) |

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| CO.3 How do I proceed after having identified the Counterparty? |
| You should fill in an 'Information on Payment or Not of Cash Benefits' [SED S046](../../SEDs/S046.docx) – by entering the Type of Benefits concerned and the Decision taken, i.e. paying benefits or not. After filling it in, you can send it to the Counterparty. The Business Use Case ends here. |
| Sub-process steps available to the Case Owner at this stage:  [I want to request ad-hoc information from the Counterparty (H\_BUC\_01).](../../../Horizontal_Sub-Processes/H_BUC_01_Subprocess.docx)  [I want to forward the case to another Competent Institution in my Member State (AD\_BUC\_05).](../../../Administrative_Sub-Processes/AD_BUC_05_Subprocess.docx)  [I want to invalidate the sent SED (AD\_BUC\_06).](../../../Administrative_Sub-Processes/AD_BUC_06_Subprocess.docx)  [I want to remind the Case Owner of a SED or information that it needs to send to me (AD\_BUC\_07).](../../../Administrative_Sub-Processes/AD_BUC_07_Subprocess.docx)  [I want to Reject a received SED (AD\_BUC\_09)](../../../Administrative_Sub-Processes/AD_BUC_09_Subprocess.docx) |

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| CP.1 What should I do if I receive ‘Information on Payment or Not of Cash Benefits' SED S046? |
| If you have received an 'Information on Payment or Not of Cash Benefits' [SED S046](../../SEDs/S046.docx) from the Case Owner you don't need to reply anything to the Case Owner.  If you cannot identify the petitioner in your database or there is no insurance record of that person in your country, you can reject SED S046 by using the Administrative process Reject ([AD\_BUC\_09](../../../Administrative_Sub-Processes/AD_BUC_09_Subprocess.docx)).  If you do not receive any other information from the Case Owner, then the Business Use Case ends here. |
| Sub-process steps available to the Counterparty at this stage:  [I want to forward the case to another Competent Institution in my Member State (AD\_BUC\_05).](../../../Administrative_Sub-Processes/AD_BUC_05_Subprocess.docx)  [I want to exchange additional information not foreseen in the case-specific SED (H\_BUC\_01).](../../../Horizontal_Sub-Processes/H_BUC_01_Subprocess.docx)  [I want to invalidate the sent SED (AD\_BUC\_06).](../../../Administrative_Sub-Processes/AD_BUC_06_Subprocess.docx)  [I want to remind the Case Owner of a SED or information that it needs to send to me (AD\_BUC\_07).](../../../Administrative_Sub-Processes/AD_BUC_07_Subprocess.docx)  [I want to Reject a received SED (AD\_BUC\_09)](../../../Administrative_Sub-Processes/AD_BUC_09_Subprocess.docx) |

# BPMN diagram for S\_BUC\_14

Click [here](../../BPMN_Diagrams/S_BUC_14_Diagram.pdf) to open the BPMN diagram(s) for S\_BUC\_14.

# Structured Electronic Documents (SEDs) used in the process

The following SEDs are used in S\_BUC\_14:

* [SED S046 – Information on Payment or Not of Cash Benefits](../../SEDs/S046.docx);

# Administrative sub-processes

The following administrative sub-processes are used in S\_BUC\_14:

* [AD\_BUC\_05\_Subprocess – Forward Case](../../../Administrative_Sub-Processes/AD_BUC_05_Subprocess.docx)
* [AD\_BUC\_06\_Subprocess.docx - Invalidate SED](../../../Administrative_Sub-Processes/AD_BUC_06_Subprocess.docx)
* [AD\_BUC\_07\_Subprocess – Reminder](../../../Administrative_Sub-Processes/AD_BUC_07_Subprocess.docx)
* [AD\_BUC\_09\_Subprocess – Reject SED](../../../Administrative_Sub-Processes/AD_BUC_09_Subprocess.docx)

The following sub-processes are used for the handling of exceptional business scenarios that arise due to the exchange of social security information in an electronic environment and can be used at any point in the process:

* [AD\_BUC\_11\_Subprocess – Business Exception](../../../Administrative_Sub-Processes/AD_BUC_11_Subprocess.docx)
* [AD\_BUC\_12\_Subprocess – Change of Participant](../../../Administrative_Sub-Processes/AD_BUC_12_Subprocess.docx)

# Horizontal sub-processes

The following horizontal sub-process is used in S\_BUC\_14:

* [H\_BUC\_01\_Subprocess Ad hoc exchange of information](../Horizontal_Sub-Processes/H_BUC_01_Subprocess.docx)